



1743

PATENT  
Attorney Docket No.: NEI-00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 1743  
Gary R. McLuen et al. ) Examiner: Sines, Brian J.  
Serial No.: 09/742,261 ) **TRANSMITTAL LETTER**  
Filed: December 19, 2000 ) 162 N. Wolfe Road  
For: **MULTI-WELL ROTARY** ) Sunnyvale, CA 94086  
**SYNTHEZIZER** ) (408) 530-9700  
Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
**HAVERSTOCK & OWENS LLP**

Dated: June 14, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

220-14150  
HAVERSTOCK & OWENS LLP.  
Date: 6/14/05 By: DOG



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In re Application of: ) Group Art Unit: 1743  
Gary R. McLuen et al. ) Examiner: Sines, Brian J.  
Serial No.: 09/742,261 ) **CERTIFICATION FOR**  
Filed: December 19, 2000 ) **SUPPLEMENTAL INFORMATION**  
For: **MULTI-WELL ROTARY** ) **DISCLOSURE STATEMENT UNDER 37**  
**SYNTHEZIZER** ) **CFR § 1.97(e)**  
 ) 162 N. Wolfe Road  
 ) Sunnyvale, CA 94086  
 ) (408) 530-9700

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

## **Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on May 18, 2005. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).



**PATENT**  
Attorney Docket No.: NEI-00103

### Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: June 14, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

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- 2 -

HAVERSTOCK & OWENS LLP.  
Date: 6/14/05 By: SOG



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Attorney Docket No.: NEI-00103

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MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 4,114,853; and
- U.S. Patent No. 5,252,296.

PATENT  
Attorney Docket No.: NEI-00103

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: June 14, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

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- 2 -

HAVERSTOCK & OWENS LLP.  
Date: 6/14/05 By: DG

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: NEI-00103		Serial No.: 09/742,261	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use Several Sheets If Necessary)</small>		(37 CFR § 1.98(b))		Applicant: Gary R. McLuen et al.			
				Filing Date: December 19, 2000		Group Art Unit: 1743	
U.S. PATENT DOCUMENTS							
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	4,114,853	09/19/78	Medvick	251	149.6	10/08/76
	AB	5,252,296	10/12/93	Zuckermann et al.	422	116	02/06/91
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation
	AK						Yes
	AL						No
	AM						
	AN						
	AO						
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						
	AY						
	AZ						
Examiner:				Date Considered:			
EXAMINER:		Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

